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Docket No.

11815US0PCT/PTO

THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Bang LUU, et al.

SERIAL NO: 09/890,969

GAU:

FILED: August 8, 2001

EXAMINER:

FOR: PREVENTIVE AND THERAPEUTIC DRUG FOR NEURODEGENERATIVE DISEASE

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached International Search Report listed on the attached form PTO-1449. Copies of the listed references were provided in the International application.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

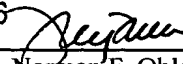
DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

WILLIAM E. BEAUMONT
REGISTRATION NUMBER 30,996

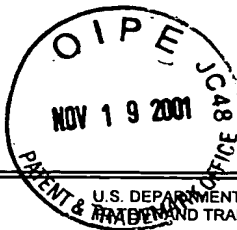

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 211815US0PCT		SERIAL NO. 09/890,969	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Bang LUU, et al.			
				FILING DATE August 8, 2001		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	WO 99/08987	02/25/99	WIPO			
	AP	WO 94/19493	09/01/94	WIPO			
	AQ	WO 96/21438	07/18/96	WIPO			
	AR	0 593 831	04/27/94	EUROPE			
	AS	WO 91/05754	05/02/91	WIPO			
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	Céline GIRLANDA-JUNGES, et al., Tetrahedron, vol. 54, no. 27, pages 7735-7748, "EFFECT OF CYCLOHEXENONIC LONG CHAIN FATTY ALCOHOLS ON NEURITE OUTGROWTH. STUDY ON STRUCTURE-ACTIVITY RELATIONSHIP", July 2, 1998					
	AX	V. DOPPLER, et al., Clinical Pharmacology, vol. 18, pages 205, "SHORT TERM SAFETY CONTROLLED TRIAL OF SR 57746A IN AMYOTROPHIC LATERAL SCLEROSIS", September 18, 1996					
	AY						
	AZ						
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							